



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/614,003	07/11/2000	Walter Gehring	7326-092

20583
PENNIE AND EDMONDS
1155 AVENUE OF THE AMERICAS
NEW YORK, NY 100362711

FORMALITIES LETTER



OC000000005360905

Date Mailed: 08/29/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

A. Legesse

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/13/2000 SDENBOB1 00000102 161150 09614003

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Express Mail No.: EL 501 634 975 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Gehring and Artavanis-Tsakonas

Application No.: 09/614,003

Group Art Unit: 1633

Filed: July 11, 2000

Examiner: To be assigned

For: MANIPULATION OF TISSUE OR ORGAN TYPE USING THE NOTCH PATHWAY Attorney Docket No.: 7326-092

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents
Box Missing Parts
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application, mailed August 29, 2000, Applicants submit herewith a Declaration and Power of Attorney (in two counterparts) for the above-identified application executed by Walter Gehring on August 28, 2000 and Spyridon Artavanis-Tsakonas on August 28, 2000.

A fee of \$65.00 (small entity) is believed to be due. Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A duplicate copy of this sheet is enclosed for accounting purposes.

Respectfully submitted,

Date September 11, 2000

Adriane M. Antler 32,605
Adriane M. Antler (Reg. No.)

By:

William Thomann 40,203
William Thomann (Reg. No.)

PENNIE & EDMONDS LLP
1155 Avenue of the Americas
New York, New York 10036-2711
(212) 790-9090



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Application of: Gehring and Artavanis-Tsakonas

Serial No.: 09/614,003

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ORGAN TYPE USING THE NOTCH
PATHWAY

Attorney Docket No.: 7326-092

**REQUEST TO ESTABLISH SMALL ENTITY STATUS
AND TRANSMITTAL OF VERIFIED STATEMENTS CLAIMING
SMALL ENTITY STATUS UNDER 37 C.F.R. §§ 1.9(f) AND 1.27(d)
AND UNDER 37 C.F.R. §§ 1.9(f) AND 1.27(c)**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Attorneys for Applicants request that Small Entity Status be established and submit herewith an original executed Verified Statement Claiming Small Entity Status - Non-Profit Organization from Yale University, co-assignee of the above-identified application, an original executed Verified Statement Claiming Small Entity Status - Non-Profit Organization from the University of Basel, co-assignee of the above-identified application, and an original executed Verified Statement Claiming Small Entity Status - Small Business Concern from Exelixis, Inc., an entity having certain rights in the invention of the above-identified application. It is requested that these documents be made of record in the file of the above-identified patent application.

Respectively submitted,

Date: September 11, 2000

Adriane M. Antler 32,605
Adriane M. Antler (Reg. No.)

By:

William M. Thomann 40,203
William Thomann (Reg. No.)

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New York, N.Y. 10036
(212) 790-9090



Express Mail No.: EL 501 634 975 US

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Application of: Gehring and Artavanis-Tsakonas

Application No.: 09/614,003

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Attorney Docket No.: 7326-092

REQUEST FOR REFUND PURSUANT TO 37 C.F.R. § 1.28(a)

Assistant Commissioner for Patents
Washington, D.C. 20231

Attention: Refund Section, Accounting Division


Sir:

A filing fee in excess of the required fee has been paid in connection with the subject patent application. In particular, a fee of \$2,160.00 has been timely paid from Pennie & Edmonds LLP Deposit Account No. 16-1150, of which \$1,080.00 is in excess of the amount required. Submitted concurrently herewith are three Verified Statements Claiming Small Entity Status and a Request to Establish Small Entity Status. Pursuant to 37 C.F.R. § 1.28(a), Applicants request a refund of the excess amount within two months of its timely payment.


Accordingly, please refund the excess by crediting **\$1,080.00** to Deposit Account No. 16-1150. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Date: September 11, 2000


Adriane M. Antler 32,605
(Reg. No.)

By:


William Thorburn 40,203
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Enclosures